



IRA Transfer Request for Spouse Beneficiary

Use this form to request a transfer of a Traditional, Roth, Rollover, SEP or SIMPLE IRA ("IRA") inherited from your spouse to your IRA. You must include a copy of the IRA owner's death certificate. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

Helpful to Know

- You must be a spouse beneficiary to transfer inherited IRA assets to your own IRA.
- You must have an existing IRA or to establish a Premiere Select IRA, you must complete a Premiere Select IRA Application.
- If the decedent dies after his or her required beginning date, you must satisfy the decedent's required minimum distribution (RMD) for the year of death if the decedent had not done so prior to death.
- IRS regulations do not allow transfers from a Roth IRA to a Traditional IRA (Traditional IRAs are defined as including Rollover IRAs, SEP-IRAs, and SIMPLE IRAs) or from a Traditional IRA to a Roth IRA.
- When completing this form, if you do not elect to transfer all of the inherited assets to which you are entitled to your own IRA, then you may request to transfer the remaining assets to a IRA BDA. To transfer to an IRA BDA, you must complete a IRA Application, which can be obtained from your investment representative.

1. Decedent's IRA Information

First Name	M.I.	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Social Security Number		
<input type="text"/>		

2. Spouse Beneficiary Information

First Name	M.I.	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Social Security Number		
<input type="text"/>		

3. IRA Transfer Instructions

Assets will be transferred in kind.

More than one account of the same registration type can be transferred.

From a Traditional IRA

Account Number to be Transferred

To Your Traditional IRA

Account Number

From a Traditional IRA

Account Number to be Transferred

From a Roth IRA

Account Number to be Transferred

To Your Roth IRA

Account Number



4. Signature and Date *Spouse Beneficiary must sign and date.*

By signing below, you:

- Certify that the information supplied on this form is complete and accurate. You also certify that (i) you understand the choices applicable to you (or, if you are acting on behalf of a beneficiary, the beneficiary for whom this is directed) and (ii) you have elected an option consistent with your status (or the beneficiary's status on whose behalf you are acting) as a spouse beneficiary.
- Hereby direct Fidelity Management Trust Company ("FMTC"), Custodian of the IRA (or its successor, agents, affiliates or assigns), to transfer, as set forth above, the IRA assets of which you are (or the beneficiary on whose behalf you are acting) a spouse beneficiary to your IRA specified above.
- Accept full responsibility for complying with IRS rules including Required Minimum Distributions due to death.
- Indemnify FMTC and National Financial Services LLC and their officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from any and all liability in the event that you fail to meet any applicable IRS requirements with respect to this transfer.
- Acknowledge and understand that if you are the sole beneficiary of a Roth IRA and you, as the surviving spouse, have your own Roth IRA, the 5-Year Aging Period ends at the earlier of the end of the 5-Year Aging Period of the decedent's Roth IRA or the end of the 5-Year Aging Period for your own Roth IRA.

Print IRA Spouse Beneficiary Name *First, M.I., Last*

IRA Spouse Beneficiary Signature

Date *MM - DD - YYYY*

SIGN ▶

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